

2171 #3

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
 1458-US

In Re Application Of: Sanjai Narain



COPY OF PAPERS  
 ORIGINALLY FILED

Serial No.  
 09/966,136

Filing Date  
 0928/2001

Examiner  
 NOT ASSIGNED

Group Art Unit  
 2171

Title: MIDDLEWARE FOR COMMUNICATIONS NETWORKS

**RECEIVED**

FEB 05 2002

Technology Center 2100

Address to:

Assistant Commissioner for Patents  
 Washington, D.C. 20231

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- a certification as specified in 37 CFR 1.97(e);

**OR**

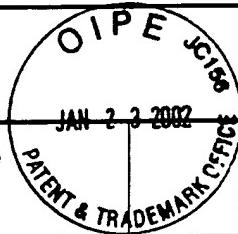
- the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

#3

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

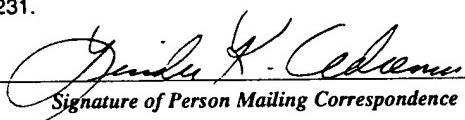
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_  
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I certify that this document and fee is being deposited on Nov. 13, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Linda K. Adams

Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

  
Signature

Orville R. Cockings, Reg. No. 42,424  
Telcordia Technologies, Inc.  
445 South Street  
Morristown, NJ 07960

Dated: 11/17/2001

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <small>(Use several sheets if necessary)</small>				Docket Number (Optional) <b>1458-US</b>	Application Number <b>09/966,136</b>	
				Applicant(s) <b>Sanjai Narain</b>		
				Filing Date <b>09/28/2001</b>	Group Art Unit <b>2171</b>	
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL	TRADEMARK CITE	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
AA		<b>5,839,438</b>	<b>10/24/09</b>	<b>Graettinger, et al.</b>	<b>128</b>	<b>630</b>
<b>RECEIVED</b> <small>FFB 05 2002</small> <small>Technology Center 2160</small>						
<b>FOREIGN PATENT DOCUMENTS</b>						
REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
						YES
<b>OTHER DOCUMENTS</b> ( <i>Including Author, Title, Date, Pertinent Pages, Etc.</i> )						
EXAMINER				DATE CONSIDERED		